



01-24-01  
JC972 U.S. PTO  
01/23/01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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09/76/617  
01/23/01

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 002282.P119

First Named Inventor Erika Monika Gohl  
Title: Authenticating Communications

Express Mail Label No. EL750127723US

ADDRESS TO: **Assistant Commissioner for Patents**  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 27)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 3)**
5.  **Oath or Declaration (Total Pages 5)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies), or
    - ii.  paper
  - c.  Statement verifying identity of above copies

### EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL750127723US  
I hereby certify that I am causing this paper or ~~envelope~~ to be deposited with the United States Postal Service "Express Mail" Post Office to Addressee" service on the date indicated above and that this paper or ~~envelope~~ has been addressed to the Assistant Commissioner for Patents, Washington, DC  
- 1 -

January 23, 2001  
Date of D...  
Signature: Don Whaley

Name of Person Mailing  
Signature: Don Whaley  
Date: 1/23/01

**ACCOMPANYING APPLICATION PARTS**

9.  **Assignment Papers (cover sheet & documents(s))**

10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney

11.  English Translation Document (if applicable)

12.  a. Information Disclosure Statement (IDS)/PTO-1449

b. Copies of IDS Citations

13.  **Preliminary Amendment**

14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation  
Of Prior Application No.: \_\_\_\_\_  Divisional  
Examiner \_\_\_\_\_  Continuation-in-part (CIP)  
Group Art Unit \_\_\_\_\_

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is  
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an  
assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_  
(or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME Gordon R. Lindeen III

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (303)740-1980 FAX (303)740-6962

Name (PRINT/TYPE): Gordon R. Lindeen III Registration No.: 33,192  
Signature: Gordon R. Lindeen III Date: 1/23/11

JCG72 U.S. PTO  
09/767617  
01/23/01

## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$822.00

## Complete if Known:

Application No. \_\_\_\_\_  
 Filing Date Concurrently Herewith  
 First Named Inventor Erika Monika Gohl  
 Group Art Unit Not yet assigned  
 Examiner Name Not yet assigned  
 Attorney Docket No. 002282.P119

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 \_\_\_\_\_ Credit Card  
 \_\_\_\_\_ Money Order  
 \_\_\_\_\_ Other

## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
101	710	201	355	Fee Description	
106	320	206	160	Utility application filing fee	<u>710.00</u>
107	490	207	245	Design application filing fee	_____
108	710	208	355	Plant filing fee	_____
114	150	214	75	Reissue filing fee	_____
				Provisional application filing fee	_____

SUBTOTAL (1) \$710.002. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from</u>	<u>Fee Paid</u>
		<u>below</u>	
Total Claims <u>24</u>	<u>- 20** = 4</u>	<u>X 18.00</u>	<u>= 72.00</u>
Independent Claims <u>3</u>	<u>- 3** = 0</u>	<u>X 80.00</u>	<u>= 0.00</u>
Multiple Dependent			<u>=</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 72.00

## FEE CALCULATION (continued)



Serial/Patent No.: 002282.919 Filing/Issue Date: Concurrently herewith  
Client: Mentor Graphics  
Title: Authenticating Communications

BSTZ File No.: 002282.919  
Date Mailed: Jan. 23, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (\_\_\_\_ pgs.)  
 Appeal Brief (\_\_\_\_ pgs.) (in triplicate)  
 Application - Utility (27 pgs., with cover and abstract)  
 Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)  
 Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)  
 Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)  
 Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)  
 Application - Design (\_\_\_\_ pgs.)  
 Application - PCT (\_\_\_\_ pgs.)  
 Application - Provisional (\_\_\_\_ pgs.)  
 Assignment and Cover Sheet in duplicate  
 Certificate of Mailing  
 Declaration & POA (5 pgs.) (signed)  
 Disclosure Docs&Org & Copy of Invents Signed Letter (\_\_\_\_ pgs.)  
 Drawings: 3 # of sheets includes 3 figures

Atty/Secty Initials: GR/annw  
Docket Due Date: \_\_\_\_\_  
 Express Mail No. EL750127723US  Check No. 1163  
 \_\_\_\_\_ Month(s) Extension of Time Amt: 828.00  
 Information Disclosure Statement & PTO-149 (\_\_\_\_ pgs.)  Check No. \_\_\_\_\_  
 Issue Fee Transmittal Amt: \_\_\_\_\_  
 Notice of Appeal  
 Petition for Extension of Time  
 Petition for \_\_\_\_\_  
 Postcard  
 Power of Attorney (\_\_\_\_ pgs.)  
 Preliminary Amendment (\_\_\_\_ pgs.)  
 Reply Brief (\_\_\_\_ pgs.)  
 Response to Notice of Missing Parts  
 Small Entity Declaration for Indep. Inventor/Small Business  
 Transmittal Letter, in duplicate  
 Fee Transmittal, in duplicate

Other: \_\_\_\_\_  
\_\_\_\_\_

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Date of Deposit: January 23, 2001

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April Worley  
(Typed or printed name of person mailing paper or fee)

April Worley  
(Signature of person mailing paper or fee)

1/23/01  
(Date signed)